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09/936974

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September 18, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

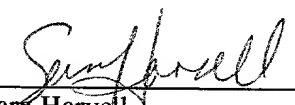
In re/ Application of
Mark Gary Mullane
Based on International Application No. PCT/GB 00/00,945
U.S. Application No. Not Yet Assigned
Filed Herewith

CLEANING FORMULATION

(Atty. Docket No. P25,400 USA)

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date, September 18, 2001, in an envelope marked "Express Mail Post Office to Addressee," Mailing Label No. EL663031898US addressed to Box PCT, Commissioner for Patents, Washington, D.C., 20231.


Sara Harvell

Box PCT
Commissioner for Patents
Washington, D.C. 20231
Attn: DO/EO/US

Preliminary Amendment Reducing the
Number of Claims Prior to Calculation of the Filing Fee

Sir:

Please cancel Claims 3 to 11 inclusive and 13 to 23 inclusive without prejudice.

09/936974

U.S. National Stage of
Application No. PCT/GB 00/00,945

September 18, 2001
Page 2

Respectfully submitted,



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Sara Harvell

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Washington, D.C. 20231
Attn: DO/EO/US

Second Preliminary Amendment Submitted Prior
to First Examination and Action Under 37 CFR §1.104

Sir:

Please add the following claims.

U.S. National Stage of
Application No. PCT/GB00/00,945

September 18, 2001
Page 2

- 24. A composition according to Claim 1 further comprising one or more of the following additives: a coloring agent; a perfume; a biocide; a preservative; an anti-septic; and/or an anti-static agent.
25. A composition according to Claim 1 further comprising benzalkonium chloride.
26. A composition according to Claim 1, wherein component (a) is pyrophosphoric acid or polyphosphoric acid or a salt of either of said acids.
27. A composition according to Claim 1, wherein component (b) is an ether of an alkylene glycol.
28. A composition according to Claim 1, wherein component (c) is an organic solvent able to dissolve greases or fats selected from the group consisting of C₉ to C₁₅ linear aliphatic hydrocarbons, C₆ to C₁₅ cycloaliphatic hydrocarbons, and C₁₆ to C₂₂ fatty acid alkyl esters.
29. A composition according to Claim 1, wherein component (d) is a low-foaming surfactant capable of keeping component (c) in solution in the composition.

30. A composition according to Claim 1 in the form of a solution.
31. A composition according to Claim 1 which is substantially free from halogenated surfactants and solvents; and/or ammonia; ammonium and other nitrogen-based ingredients; and/or silicates and other depositing inorganics.
32. A composition according to Claim 1 having a pH in the range of from 8 to 12.
33. A two-part cleaning composition suitable for cleaning carpets, which composition comprises:
- (a) an alkaline cleaning composition; and
 - (b) a neutralizing composition comprising an aqueous solution of a non-oxidizing acid whereby, in use, the pH of the combined compositions (a) and (b) is in the range of from 5.5 to 8.5.
34. A two-part cleaning composition according to Claim 33, wherein the neutralizing composition (b) is provided as an aqueous solution of, in the range of from, one part acid to sixty parts water.

35. A method suitable for cleaning a surface, which method comprises:
- (a) applying to the surface an alkaline cleaning composition in an amount sufficient to wet the surface; and
 - (b) mechanically buffing the surface with a pad soaked in a neutralizing composition comprising an aqueous solution of a non-oxidizing acid in an amount sufficient to substantially neutralize the alkaline cleaning composition remaining on the surface.
36. A method according to Claim 35, wherein the aqueous solution is heated to a temperature in the range of from 50° to 95°C.
37. A method according to Claim 35, wherein the alkaline cleaning composition comprises a water-based cleaning composition comprising:
- (a) 0.1 to 50% w/w of a first cleaning agent;
 - (b) 0.1 to 20% w/w of a second cleaning agent;
 - (c) 0.1 to 10% w/w of a third cleaning agent;
 - (d) 0.1 to 10% w/w of a surfactant.
38. A method according to Claim 35 using a two-part cleaning composition including said alkaline cleaning composition and said neutralizing composition, wherein, in use, the pH of the combined compositions (a) and (b) is in the range of

from 5.5 to 8.5.

39. A method according to Claim 35 carried out in a manner whereby the ratio of the neutralizing composition to the alkaline cleaning composition is greater than one.

40. A method according to Claim 39, wherein said ratio is from 2 to 4:1.--

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Application No. PCT/GB 00/00,945

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Remarks

Added Claims 24 to 40 are patterned after originally filed canceled claims as follows.

Canceled Claims

Claims Added by this Amendment

3	24
4	25
5	26
6	27
7	28
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14	34
15	35
16	36
17	37

U.S. National Stage of
Application No. PCT/GB 00/00,945

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Page 7

Canceled Claims

Claims Added by this Amendment

18

38

19

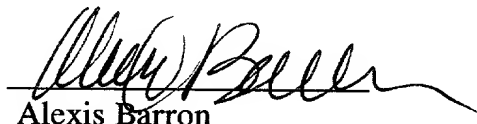
39

19

40

An early and favorable Action is requested respectfully.

Respectfully submitted,



Alexis Barron

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FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

P25,400 USA

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

09/936974

INTERNATIONAL APPLICATION NO.

PCT/GB00/00945

INTERNATIONAL FILING DATE

17 March 2000

PRIORITY DATE CLAIMED

18 March 1999

TITLE OF INVENTION

Cleaning Formulation

APPLICANT(S) FOR DO/EO/US

Mark Gary Mullane

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). Not executed.
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☒ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

Cover Page of Published International Application No. WO 00/55286
Express Mail Label No. EL663031898US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">09/936974</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold;">PCT/GB00/00945</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold;">P25,400 USA</div>	
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21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: center; font-weight: bold;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY																																																																															
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$860.00																																																																															
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 10%;">RATE</th> <th style="width: 15%;"></th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td>0</td> <td>x \$18.00</td> <td></td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$80.00</td> <td></td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td colspan="5">Multiple Dependent Claims (check if applicable). <input type="checkbox"/></td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td colspan="5" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> <td style="text-align: center;">\$860.00</td> </tr> <tr> <td colspan="5">Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input checked="" type="checkbox"/></td> <td style="text-align: center;">\$430.00</td> </tr> <tr> <td colspan="5" style="text-align: center;">SUBTOTAL =</td> <td style="text-align: center;">\$430.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).</td> <td style="text-align: center;">+</td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td colspan="5" style="text-align: center;">TOTAL NATIONAL FEE =</td> <td style="text-align: center;">\$430.00</td> </tr> <tr> <td colspan="5">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/></td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td colspan="5" style="text-align: center;">TOTAL FEES ENCLOSED =</td> <td style="text-align: center;">\$430.00</td> </tr> <tr> <td colspan="5"></td> <td style="text-align: center;">Amount to be: refunded \$</td> </tr> <tr> <td colspan="5"></td> <td style="text-align: center;">charged \$</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	20 - 20 =	0	x \$18.00		\$0.00	Independent claims	3 - 3 =	0	x \$80.00		\$0.00	Multiple Dependent Claims (check if applicable). <input type="checkbox"/>					\$0.00	TOTAL OF ABOVE CALCULATIONS =					\$860.00	Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input checked="" type="checkbox"/>					\$430.00	SUBTOTAL =					\$430.00	Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				+	\$0.00	TOTAL NATIONAL FEE =					\$430.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>					\$0.00	TOTAL FEES ENCLOSED =					\$430.00						Amount to be: refunded \$						charged \$	<input checked="" type="checkbox"/> A check in the amount of \$430.00 to cover the above fees is enclosed. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 19-5425 A duplicate copy of this sheet is enclosed.	
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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 REGISTRATION NUMBER
 September 18, 2001
 DATE

Cleaning Formulation

The present invention relates to an improved cleaning system. It is particularly applicable to cleaning carpets and upholstery. The invention includes a new method
5 for cleaning; new cleaning apparatus; and new compositions for use in the method and apparatus.

It is important to keep carpets clean. Carpets help purify the environment by accumulating particulates and gases from the feet and footwear of the people who walk over them as well as from the surrounding atmosphere. Soiled carpets, however, are
10 not aesthetically appealing and wear poorly. Left uncleaned, they will eventually release particulates and gases back into the air. In closed-circulation buildings, heavily-soiled carpets will cease to help clean the environment, and may become a source of air pollution that could contribute to health problems.

Carpet-cleaning and maintenance programmes have a direct impact on carpet
15 appearance and performance. Proper cleaning and maintenance can therefore keep carpets looking new and beautiful, extend their lifespan and contribute to a healthier indoor environment. Additionally, modern carpets which have been pre-treated with soil- and stain-retardants require special treatment to clean them properly whilst maintaining their soil- and stain-resistance.

20 There are a number of known carpet-cleaning and maintenance routines: loose and fitted carpets may be cleaned *in situ* using powder-form products which are scattered onto the carpets and removed again under suction after a mechanical treatment.

So-called 'steam cleaning' is another method offered by many cleaning
25 contractors, but this method tends to saturate the carpet, including the carpet backing, as steam condenses once in contact with the carpet. This and other aqueous treatments tend to leave the fabric being cleaned thoroughly wet. In the case of

carpets or upholstery, this is a major disadvantage. For example, a wet carpet should not be walked on until it is dry, which also means that any furniture cannot be put back into position for many hours.

In addition, if the carpet backing gets wet, it can shrink and this shrinkage can
5 be of an extent to pull a fitted carpet away from the wall, particularly in a large room.

Similar problems exist with other substrates to be cleaned, such as fabrics, which can shrink once they are thoroughly wet.

A widely-used, conventional method involves the application of a detergent solution to the carpet, followed by extraction of detergent plus soils. Detergents,
10 however, only attack water-soluble dirt. Therefore, when water-insoluble materials, such as grease, are present in the carpet, organic solvents must separately be applied and extracted.

Although detergents and other cleaning agents have conventionally been employed as carpet cleaning agents, as currently applied they can be detrimental to
15 carpet appearance. Conventional detergents and cleaning agents used in carpet cleaning are highly alkaline; their pH is generally at least about 10–12. Residues from these alkaline products tend to remain on the carpet after the cleaning process is complete. This is undesirable, because alkaline conditions can affect the colour and stability of some dyes used in carpet and upholstery fabrics. In addition, these alkaline
20 deposits can cause the carpet to become dirtier quicker than would otherwise have been the case.

Such conventional cleaning agents may also contain optical brighteners and soil retardants. The repeated use of these cleaning agents can therefore also lead to build-up of soil retardant and optical brightener in the carpet or on its surface, which,
25 together with alkaline deposits, also promote rapid resoiling and degradation of carpet fibre, particularly in the case of nylon, and also can cause carpet colour to fade due to enhanced UV sensitivity.

Accordingly, it is an object of the present invention to overcome or minimise some or all of the problems outlined above.

According to a first aspect of the present invention, there is therefore provided an alkaline, aqueous composition suitable for cleaning carpets, which composition

5 comprises:

- (i) 0.1 to 50% w/w of a first cleaning agent;
- (ii) 0.1 to 20% w/w of a second cleaning agent;
- (iii) 0.1 to 10% w/w of a third cleaning agent; and
- (iv) 0.1 to 10% w/w of a surfactant.

10 All weights expressed herein are based on the total weight of the total composition, unless stated otherwise.

The composition of the invention is preferably in the form of a finely-balanced solution but may also be a weak emulsion. As well as on carpets, textiles, furnishings and the like, the solution may also be used on other surfaces, such as metal, glass and
15 the like.

The first cleaning agent is water-soluble and is preferably one that is a complexing agent for complexing metal ions, and may also act as an alkaline detergent builder and/or water softener. More preferably, it is a non-acidic cleaning agent.

The second cleaning agent is also water-soluble or miscible and is preferably a
20 binding agent for binding together the other ingredients in the composition and/or for maintaining the other components of the formulation in aqueous solution, emulsion or dispersion and/or otherwise for coupling together the other components of the composition. More preferably, it is miscible with water to form an aqueous solution.

The third cleaning agent is preferably an organic solvent capable of acting as a
25 solubiliser or dissolving agent for grease, fats and the like that, more preferably, is a liquid that is dispersible in the other components of the composition and/or is stable in aqueous conditions.

Therefore, the present invention preferably provides a water-based cleaning composition comprising:-

- (a) 0.1 to 50% by weight of detergent builder and water softener;
- (b) 0.1 to 20% by weight of coupling agent;
- 5 (c) 0.1 to 10% by weight of organic solvent;
- (d) 0.1 to 10% surfactant; and, optionally,
- (e) minor amounts of additives

the balance being water.

This formulation provides significantly improved grease and stain-removing
10 properties, compared to known formulations. The main ingredients of the formulation having cleaning properties are components (a), (b), (c) and (d), as defined above.

Preferably, the cleaning composition further comprises one or more of the following additional components (additives), including:-

- a colouring agent, such as a dye;
- 15 a perfume;
- a biocide, preservative or anti-septic; and/or
- an anti-static agent.

In the compositions of this invention, the conditions for two or more components may be satisfied by one ingredient.

20 Preferably, in the compositions of this invention, halogenated surfactants and solvents are not used. Halogenated surfactants tend to be expensive and halogenated solvents are not environmentally friendly. The present invention therefore further provides an alternative carpet cleaning formulation that avoids the use of these undesirable ingredients. Similarly, many prior art cleaning compositions incorporate
25 ammonia, or ammonium or other nitrogen-based ingredients; these can also be avoided by using the composition of the present invention. Accordingly, the present

invention further provides a cleaning composition that excludes one or more of:
halogenated ingredients and nitrogen-based ingredients.

Preferably, the complexing agent, component (a), comprises one or more solid,
water-soluble, inorganic or organic compounds having a pH greater than 7 and
5 preferably such that the pH of the total composition is greater than 8, more preferably
in the range of from 8 to 12, especially about 10. Such suitable alkaline compounds
may be selected from:-

aminopolycarboxylic acids;

nitrilotriacetic acid;

- 10 alkylene diamine derivatives of carboxylic acids, such as ethylene diamine diacetic acid
and ethylene diamine tetra-acetic acid (EDTA); and
phosphonates, such as pyrophosphoric acid and polyphosphoric acid;
and salts thereof, such as alkali or alkaline earth metal salts.

- These lists are not intended to be limiting in any way and any member of this
15 general class of reagent can be employed. However, preferred compositions of this
invention are those that do not include silicates, which (in use) could leave deposits or
scale on the surface to be cleaned. The concentration of component (a) is generally in
the range of 0.1–50% by weight, with a preferred range of 1-10%w/w. A particularly
preferred range is from 2 to 8%w/w.

- 20 In a particularly preferred embodiment, the complexing agent, component (a),
comprises an inorganic complexing agent comprising one or more of pyrophosphoric
acid and polyphosphoric acid; and salts thereof.

- Preferably, the binding or coupling agent, component (b), comprises a liquid,
water-soluble or miscible, oxygenated organic solvent, such as one or more
25 compounds selected from ethers, alcohols and esters, in particular, an ether of an
alkylene glycol.

Suitable compounds for use as component (b) include:-

- an ether of ethylene glycol;
- an ether of propylene glycol, such as dipropylene glycol monomethyl ether and/or propylene glycol N-butyl ether; and
- an aliphatic alcohol, such as a C₁-C₆, preferably C₂-C₄, alkanol, such as *isopropyl*
- 5 alcohol and/or ethanol.

Especially preferred is when component (b) comprises as dipropylene glycol monomethyl ether and/or propylene glycol N-butyl ether, particularly a mixture thereof, more particularly in a ratio of from about 2-3:1, especially 70:30, respectively.

- Typical concentrations for the binding/coupling agent range from 0.1%- 20% by
- 10 weight with a preferred range being between 1%-10%w/w and a particularly preferred concentration being 3 to 5% by weight.

- Preferably, the organic solvent, component (c), is any organic liquid that has particular cleaning effect on grease and fats. It is therefore more preferably immiscible with water in the absence of a surfactant and may comprise a medium-chain
- 15 hydrocarbon and/or a fatty acid ester. For example, the organic solvent may comprise one or more compounds selected from:-

- C₉ to C₁₅ linear aliphatic hydrocarbons, such as C₉ to C₁₅ low aromatic content kerosene;
- C₆ to C₁₅ cyclo-aliphatic hydrocarbons;
- 20 fatty acid alkyl esters, such as C₁₆ to C₂₂ fatty acid alkyl esters, preferably, C₁₆-C₁₈ fatty acid (C₁-C₄)alkyl esters.

- The above list of organic solvents is not intended to be limiting in any way. In principle, it is possible to use any non-toxic, organic solvent for this purpose where the solvent has the ability to dissolve grease and fats. Especially preferred is when
- 25 component (c) comprises a non-flammable (under the conditions of use), odourless, water-stable medium-chain organic compound, such as a fatty acid derivative, an

alkanol eg isopropanol, a glycol or a dibasic ester. Most preferred is a fatty acid methyl ester.

The organic solvent is generally present in a concentration of 0.1–10% by weight with a preferred concentration range being 1% to 5% by weight and a particularly preferred concentration being from 1 to 3%w/w, such as about 2%w/w. More preferably, the amount of solvent is kept to the particularly preferred range for admixture with the lower ranges of surfactant. Higher amounts of the organic solvent will require higher amounts of surfactant, which would lead to the formation of an emulsion, rather than a solution. Such emulsions also form part of this invention but are not preferred over the solutions, in particular because the amount of surfactant required would tend to result in foam.

Preferably, the surfactant, component (d), comprises a liquid, water-soluble or miscible, low-foaming, non-ionic, anionic or amphoteric surfactant, particularly an emulsifying agent that enables the organic solvent, component (c), to mix with the other components of the composition, such as ethers of fatty alcohols eg an ethoxylated alcohol. However, there is a very wide range of known surfactants and, in principle, any of these can be employed, as suitable, given the particular formulation. In particular, the surfactant preferably has the ability to bring any soils from the surface to be cleaned into solution or admixture with the composition of the invention.

The surfactant is generally present in a concentration of 0.1–10%w/w with a preferred range being 1–6%w/w and a particularly preferred concentration being in the range of from 3 to 4%w/w, such as about 3.5% by weight. In any case, the amount of surfactant required is, at minimum, that sufficient to maintain component (c), such as a fatty acid methyl ester, in liquid dispersion in the composition.

It is also desirable to add a biocide, which may be selected from any known biocides suitable for the purpose. Typical examples are quaternary ammonium salts, such as benzalkonium chloride. The biocide may be present in an amount in the range

of from 0 to 5%w/w, such as about 1 to 2%w/w. Benzalkonium chloride is also a suitable anti-static agent for use in the composition.

Furthermore, benzalkonium chloride, when present, may comprise the primary contributor to the pH of the solution. Accordingly, the amount of benzalkonium chloride present may be such as to result in a solution having a pH greater than 7 and preferably such that the pH of the total composition is greater than 8, more preferably in the range of from 8 to 12, especially about 10.

It is also preferable to add a dye and/or a perfume to improve the appearance and odour of the cleaning formulation. Such dyes and perfumes for the use in cleaners are well known to those skilled in the art and may be present in an amount in the range of from 0 to 3%w/w, such as about 0.1-0.2%w/w perfume and/or less than about 0.1%w/w, eg less than about 0.01%w/w, colouring agent.

In the formulations of the invention, unlike in some prior art formulations, viscosity control is not essential, although the viscosity of the solution tends to be low and of a similar order to that of water or slightly higher. The composition is made up to 100% with water. The amount of water therefore is preferably in the range of from about 60 to 90%w/w, such as in the range of from about 70 to about 80%w/w.

The composition may be presented in ready-to-use form or in the form of a liquid concentrate for dilution with an appropriate amount of water. Conveniently, the composition may be in the form of a liquid concentrate for dilution with, in the range of from, 1 part concentrate to 8 parts water, preferably in the range of from 2 to 6 parts, such as 4 parts water. The weight percentage ranges, at their broadest, expressed above are suitable for both concentrate and final, diluted solution. However, preferred, narrow ranges are generally expressed in terms of a concentrate, for dilution 1 part concentrate with 4 parts water. The pH of the concentrate is in the same range as that mentioned above with respect to the final solution.

Accordingly, the present invention further provides a liquid concentrate for dilution with water to provide an alkaline, aqueous composition suitable for cleaning carpets, which composition is as defined hereinabove.

There is also provided a method for the preparation of a solution or concentrate
5 according to this invention, which method comprises mixing together components (a) to (c), together with the water and any suitable optional ingredients such as biocide, and thereafter adding to that mixture component (d) and any suitable optional ingredients such as orange terpenes.

In order to assist in the objective of reducing or ameliorating build-up of alkali on
10 or in the carpet, an alkaline cleaning composition is preferably used in association with a neutralising composition, whereby the pH of the combined compositions (in use) is reduced to about neutral, as indicated eg using a standard litmus paper test. By 'about neutral' in this context is meant that the pH is in the range of from 5.5 to 8.5, but more preferably in the range of from 6.5 to 8, such as about 7.5 or slightly alkaline.

15 Therefore, according to a second aspect of the invention, there is provided a two-part cleaning composition comprising:

- (a) an alkaline cleaning composition, such as preferably a cleaning composition as defined hereinabove; and
- (b) a neutralising composition comprising an aqueous solution of a non-
20 oxidising acid.

A wide variety of acids can be used in the neutralising composition, but preferably the non-oxidising acid comprises one or more compounds selected from mild or weak inorganic and organic acids. Preferred such acids are non-toxic, food or pharmaceutical-grade acids, for health and safety reasons. It is particularly preferred to
25 use a non-oxidising, organic acid whose alkali metal or alkaline earth metal salts are water-soluble. Suitable organic acids are therefore citric acid; acetic acid; succinic acid; tartaric acid; tannic acid; propionic acid; and glycolic acid. It is also possible to

use certain inorganic acids, such as sulphamic acid. The pH of the neutralising solution is preferably in the range of from 1.5 to 3.5, such as about 2.

The acid is typically supplied as an aqueous solution of, say, one part by weight of acid in forty parts by weight of water. One part of this stock solution may then be
5 further diluted with, in the range of from, 20 to 80 parts by water before use. That is to say, one litre of stock acid solution is diluted to give a final volume of from 20 to 80 litres. Preferably, the dilution ratio is in the range of from 1:40 to 70, more preferably about 1:60. Once diluted, the pH of the neutralising composition is preferably in the range of from 2.5 to 4.5, more preferably in the range of from about pH 3 to 4. For
10 example, the pH of a neutralising composition diluted 1:40 is preferably about 3.

It is known to apply an aqueous dispersion of an alkaline cleaning agent to a carpet and mechanically to buff the carpet to cause dirt to transfer from the carpet to the buffing pad. It has now surprisingly been discovered that it is highly advantageous
15 to soak the buffing pads in hot, neutralising composition immediately prior to buffing.

This improvement provides a number of advantages. First, as mentioned above, the acid in the neutralising composition tends to neutralise the alkaline cleaning composition on the carpet so that the carpet is left at a substantially neutral pH of 5.5–8.5 on completion of the cleaning process. This protects the dyes in the carpet from
20 discolouration, and tends to reduce the rate of re-soiling and the degradation of carpet fibres.

Secondly, the temperature of the buffing pad is maintained, for the time it takes to apply neutralising solution to the carpet, well above ambient. The raised temperature of the buffing pad appears significantly to increase the rate and extent to
25 which dirt is extracted from the carpet, believed to be as a result of enhanced capillary action causing the dirt to wick up the fibres.

Therefore, according to a third aspect of the invention, there is further provided a method suitable for cleaning carpet, upholstery or the like (referred to herein, collectively, as 'carpet'), which method comprises:-

- 5 (a) applying to the carpet an alkaline cleaning composition, such as preferably a cleaning composition as defined hereinabove, in an amount sufficient to wet the carpet fibres; and
- (b) mechanically buffing the carpet with a pad soaked in a neutralising composition comprising an aqueous solution of a non-oxidising acid in an amount sufficient to substantially neutralise the alkaline cleaning composition
- 10 remaining on the carpet.

Preferably, the aqueous acidic solution is heated to a temperature in the range of from 50° to 95°C, more preferably in the range of from 70 to 85°C prior to buffing the carpet, whereby the pad, after immersion in the neutralising composition, is in that temperature range when the buffing starts.

- 15 Preferably, the alkaline cleaning composition comprises a water-based cleaning composition as described herein. Preferably, the neutralising solution comprises an aqueous acidic solution as described herein. Accordingly, the method of the invention is most preferably carried out using the two-part cleaning composition of this invention. More preferably, the method is carried out in a manner whereby the ratio of neutralising
- 20 solution to cleaning composition is greater than one, such as in the range of from 2 to 4:1, eg about 10:3, respectively.

Conveniently, doses of about 15 to 25ml, such as about 18 to 22ml, of the neutralising composition are applied, per pad, to the carpet. As a guide, about 10 litres of neutralising composition are required per 100 square metres of carpet.

- 25 Preferred pads for use in the method according to this invention are substantially flat, circular pads, comprising generally synthetic fibres sandwiching an

absorbent layer. For example, they may comprise a polyester/rayon mixture that is capable of being in contact over its whole application face with the carpet, when in use.

Because the buffing pads have to be changed frequently, a special heating device has been developed to keep the pads both hot and saturated with acid solution.

- 5 In its simplest form, this heating device comprises a liquid-tight tank, having a removable lid through which the pads can be inserted and withdrawn. The tank is conveniently heated by way of a thermostatically controlled heating element or the like, so that the temperature may be controlled between set temperature limits, as suggested above. The tank is constructed from any suitable material that is inert to the
- 10 acid used. Typically, plastics materials or stainless steel can be used.

There are several desirable features that may optionally be incorporated into the tank. Because the pads are normally circular in shape, it is preferable that the lid to the tank and the access port are also circular. This facilitates inserting and removing the pads.

- 15 Because the pads may conveniently be kept immersed in the hot acidic solution whilst awaiting use and yet need to be accessible, preferably without having to delve too deeply into the acidic solution as each pad in turn is required, a spring-loaded dispenser, of the type used to store and dispense plates in a canteen, can be incorporated into the tank. This ensures that, however many pads are waiting in the
- 20 tank, the top pad is always within easy reach. Alternatively, pads may be immersed in the neutralising composition, one-by-one, as required.

- The tank is preferably adapted to be mounted on wheels, so that it can follow the buffing machine around the area to be cleaned. However, for convenience of storage, the wheels may be provided on a detachable trolley or wheel-base, separate
- 25 from the body of the tank.

The following examples are provided for illustration of the invention only and are not intended to be limiting thereof.

Example 1 - Ready-to-Use Cleaning composition

A water-based cleaning composition was prepared by mixing the following ingredients:-

5		% of total composition
	Tetrapotassium pyrophosphate	2.00
	Propylene glycol ether (DOWANOL DPM)	2.95
	(C9 to C15) Aliphatic hydrocarbon (kerosene) (EXXOL D80)	1.91
	Ethoxylated alcohol	3.308
10	Benzalkonium chloride (50% solution)	0.092
	Dye/Perfume	Q/S
	Water	Q/S to 100%

Example 2 - Cleaning Composition Concentrate

15 An alkaline, aqueous composition suitable for dilution, prior to use, 1 part to 4 parts water, was prepared by mixing the following ingredients:-

		% of total concentrate
	Tetrapotassium pyrophosphate	8.00
	Dipropylene glycol monomethyl ether	3.50
20	Propylene glycol N-butyl ether (DOWANOL PMB)	1.50
	(C16-C18) Fatty acid methyl ester	1.50
	Ethoxylated alcohol (SYNPERONIC 916)	3.29
	Benzalkonium chloride (50% solution)	1.536
	Dye	0.0008
25	Terpenes	0.15
	Water	80.3492

CLAIMS

1. An alkaline, aqueous composition suitable for cleaning carpets, which composition comprises:

- 5 (a) 0.1 to 50% w/w of a first cleaning agent;
(b) 0.1 to 20% w/w of a second cleaning agent;
(c) 0.1 to 10% w/w of a third cleaning agent; and
(d) 0.1 to 10% w/w of a surfactant.

- 10 2. A composition according to claim 1, comprising:
(a) 0.1 to 50% by weight of detergent builder;
(b) 0.1 to 20% by weight of coupling agent;
(c) 0.1 to 10% by weight of organic solvent;
(d) 0.1 to 10% surfactant; and, optionally,
15 (e) minor amounts of additives

the balance being water.

3. A composition according to claim 1 or claim 2, further comprising one or more of the following additives: a colouring agent, such as a dye; a perfume; a biocide; a
20 preservative; an anti-septic; and/or an anti-static agent.

4. A composition according to any preceding claim, comprising benzalkonium chloride.

- 25 5. A composition according to any preceding claim, wherein component (a) is selected from phosphonates, such as pyrophosphoric acid and polyphosphoric acid, and salts thereof.

6. A composition according to any preceding claim, wherein component (b) is selected from oxygenated organic solvents, such as an ether of an alkylene glycol.

7. A composition according to any preceding claim, wherein component (c) is selected from organic solvents able to dissolve greases or fats, such as C₉ to C₁₅ linear aliphatic hydrocarbons, such as C₉ to C₁₅ low aromatic content kerosene; C₆ to C₁₅ cyclo-aliphatic hydrocarbons; and fatty acid alkyl esters, such as C₁₆ to C₂₂ fatty acid alkyl esters, preferably, C₁₆-C₁₈ fatty acid (C₁-C₄) alkyl esters.

8. A composition according to any preceding claim, wherein component (d) is selected from low-foaming surfactants capable of keeping component (c) in solution in the composition, such as ethers of fatty alcohols.

9. A composition according to any preceding claim in the form of a solution.

10. A composition according to any preceding claim, which is substantially free from halogenated surfactants and solvents; and/or ammonia; ammonium and other nitrogen-based ingredients; and/or silicates and other depositing inorganics.

11. A composition according to any preceding claim, having a pH in the range of from 8 to 12, such as about 10.

12. A concentrate composition suitable for dilution with, in the range of from, 1 part concentrate to 8 parts water to prepare a composition according to any preceding claim.

13. A two-part cleaning composition suitable for cleaning carpets, which composition comprises:

(a) an alkaline cleaning composition, such as preferably a cleaning composition according to any preceding claim; and

5 (b) a neutralising composition comprising an aqueous solution of a non-oxidising acid whereby, in use, the pH of the combined compositions (a) and (b) is in the range of from 5.5 to 8.5, such as from 6.5 to 8.

14. A two-part cleaning composition according to claim 13, wherein the neutralising

10 composition (b) is provided as an aqueous solution of, in the range of from, one part acid to sixty parts water, such as a 1:40 solution.

15. A method suitable for cleaning a surface, which method comprises:-

(a) applying to the surface an alkaline cleaning composition, such as a cleaning
15 composition as defined in any of claims 1 to 11, in an amount sufficient to wet the surface, such as carpet fibres; and

(b) mechanically buffing the surface with a pad soaked in a neutralising
composition comprising an aqueous solution of a non-oxidising acid in an amount
sufficient to substantially neutralise the alkaline cleaning composition remaining on the
20 surface.

16. A method according to claim 15, wherein the aqueous acidic solution is heated to a temperature in the range of from 50° to 95°C, such as in the range of from 70 to 85°C prior to buffing the surface.

25

17. A method according to claim 15 or claim 16, wherein the alkaline cleaning composition comprises a water-based cleaning composition according to any of claims 1 to 11.

5 18. A method according to any of claims 15 to 17, using the two-part cleaning composition according to claim 13 or claim 14.

19. A method according to any of claims 15 to 18, carried out in a manner whereby the ratio of neutralising solution to cleaning composition is greater than one, such as in
10 the range of from 2 to 4:1, respectively.

20. The use of a composition according to any of claims 1 to 14, in the preparation of an aqueous solution for cleaning a surface, such as carpet, upholstery, textiles, furnishings, metal and glass.

15 21. The use of a composition according to any of claims 1 to 11, 13 or 14 in a method according to any of claims 15 to 19.

22. A method of preparing a composition according to any of claims 1 to 14, which
20 method comprises bringing the components into intimate physical admixture, whereby an aqueous solution or weak emulsion is formed.

23. A composition, method or use, substantially as hereinbefore described with particular reference to the Examples.

25

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Docket No.
P25,400 USA

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
Cleaning Formulation

the specification of which

(check one)

☒ is attached hereto.

☒ was filed on 17 March 2000 as United States Application No. or PCT International Application Number PCT/GB00/00945 and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>9906215.0</u>	<u>Great Britain</u>	<u>18 March 1999</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>0004124.4</u>	<u>Great Britain</u>	<u>23 February 2000</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

PCT/GB00/00945

17 March 2000

Complete

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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